

## IMPORTANT INFORMATION

Use this form when redeeming and transferring funds from an existing IRA to a Marsico Funds IRA. If you have any questions or need additional forms call 888-860-8686. Please print or type clearly. You must complete Sections 1 through 6 below and return this IRA Transfer Form along with your IRA Application (if this is a new account) or Marsico Funds account number (if you are transferring funds to your existing Marsico Funds IRA account). A self-addressed stamped envelope has been included for your convenience. Please see the back for signature instructions.

Please check with your current Trustee/Custodian to determine if a medallion signature guarantee is required to process this transfer. You will receive a confirmation when the transfer has been completed and your funds have been invested in the Marsico Funds.

Note for investors 73 or older: You may take your required minimum distribution from any one or all of your IRA accounts. If you have not satisfied the minimum distribution requirements, you may be required to take a minimum distribution from your present IRA before rolling over your retirement assets to the Marsico Funds. Consult your tax adviser regarding your distribution requirements.

40 – Marsico Focus Fund (Investor Class)

YOUR FUND SELECTION(S) (Please Check One)

Invest the proceeds in my existing Marsico Funds account.

## **INVESTOR INFORMATION**

Social Security Number	Date of Birth
Name (first, middle, last)	
Street	
Street	
City, State, ZIP Code	
( )	( )
Daytime Telephone	Evening Telephone

# ASSET TRANSFER OR CONVERSION

Type of plan you now have:	Type of plan you are transferring to:
Traditional IRA	Traditional IRA
🗌 Rollover IRA	Rollover IRA
🗌 Roth IRA	🗆 Roth IRA
SEP-IRA	SEP-IRA
SIMPLE IRA	🗌 Inherited (Beneficiary) IRA
Other Employer-Sponsored Plan:	□ Inherited (Beneficiary) Roth IRA
Plan Type	

Other Roth Employer-Sponsored Plan:

Plan Type

# PLEASE TRANSFER MY IRA FROM

Ī	Name of Current Custodian (bank, mutual fund, etc.)
2	Address

		%
	Account Number	or Percentage
41 -	- Marsico Growth Fund (Investor Class)	
		%
		or Percentage
40	Account Number	
42 -	- Marsico Midcap Growth Focus Fund (Investor Class)	
		%
	Account Number Amount	or Percentage
43 -	- Marsico International Opportunities Fund (Investor Class	)
		%
45	Account Number	or Percentage
45 -	- Marsico Global Fund (Investor Class)	
		%
	Account Number Amount	or Percentage
540 -	- Marsico Focus Fund (Institutional Class)	
		%
	Account Number	or Percentage
541	- Marsico Growth Fund (Institutional Class)	
541-		%
		or Percentage
	Account Number	
542 -	<ul> <li>Marsico Midcap Growth Focus Fund (Institutional Class)</li> </ul>	
		%
		or Percentage
512	Account Number - Marsico International Opportunities Fund (Institutional C	lacel
545-		1035J %
		or Percentage
	Account Number	
545 -	– Marsico Global Fund (Institutional Class)	
		%
		or Percentage
	Account Number	

Open a new Marsico Funds Account

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\$ Amount

**Total Investment** 

10 14	Annaire Francis	\$	%
	Narsico Focus Fund nvestor Class)	Amount	or Percentage
	Aarsico Growth Fund	\$	%
	nvestor Class)	Amount	or Percentage
42 - N	Aarsico Midcap Growth Focus Fund	\$	%
(1	nvestor Class)	Amount	or Percentage
<b>43</b> – N	Aarsico International Opportunities Fund	\$	%
	nvestor Class)	Amount	or Percentage
45 – N	Narsico Global Fund	\$	%
	nvestor Class)	Amount	or Percentage
<b>540</b> – N	Aarsico Focus Fund	\$	%
	nstitutional Class)	Amount	or Percentage
541 - N	Narsico Growth Fund	\$	%
(1	nstitutional Class)	Amount	or Percentage
542 - N	Aarsico Midcap Growth Focus Fund	\$	%
	nstitutional Class)	Amount	or Percentage
543 – N	Aarsico International Opportunities Fund	\$	%
	nstitutional Class)	Amount	or Percentage
545 - N	Narsico Global Fund	\$	%
	nstitutional Class)	Amount	or Percentage
	Total Investment	\$	100%
		Amount	

100%

# WITHHOLDING NOTICE AND ELECTION FORM

## Complete only if you are converting a Traditional, SEP, or Simple IRA to a Roth IRA.

NOTICE: The distributions you receive from your IRA are subject to Federal income tax withholding unless you waive withholding. You may waive withholding on your IRA distribution by returning a signed and dated IRS Form W-4P, Withholding Certificate for Pension or Annuity Payments, or substitute Form W-4P to the Custodian. Withholding will apply to the total amount of the distribution, whether taxable or not. If you waive withholding on your IRA distribution, or if you do not have enough Federal income tax withheld from your IRA distribution, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient. You are responsible for determining and paying all Federal, and if applicable, state and local taxes on distributions from all IRAs you own. If you do not waive withholding or elect an alternative withholding amount, ten percent will be withheld from your nonperiodic IRA distribution. Your election is valid until you revoke it. You may change your withholding election by completing another Form W-4P or substitute. If you are a non-resident alien you may not use Form W-4P to withhold income tax or to waive withholding.

ELECTION: Unless you indicate a different withholding amount below or you waive withholding by indicating your election below, ten percent will be withheld from your IRA distribution.

I do not want federal income tax withheld from my distribution from this account.

I want federal income tax of 10% withheld from my distribution from this account.

I want federal income tax of \_ \_% (greater than 10%) withheld from my distribution from this account.

# ACKNOWLEDGEMENTS (Exactly as Registered)

### To Current Trustee/Custodian:

Please consider this your authority to sell

Amount or Percentage

of my assets in the account identified in Section 3 and prepare a check made payable to: Marsico Funds

\$

FRO

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Account Number

It is my intention to transfer these assets to an IRA account with the above named Fund(s) for which UMB Bank, n.a., acts as Custodian.

#### I certify that I have received and read the Prospectus and Privacy Policy for the Fund(s) into which I am transferring my IRA.

By signing this IRA Transfer/Conversion Request Form, I certify that the information I have provided is true and correct. I authorize the current IRA Trustee/Custodian to transfer/convert the IRA assets as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this transfer/conversion and I assume all responsibilities for any consequences that arise as a result of my actions. I agree to indemnify and hold the IRA Trustee/Custodian harmless from any consequences related to executing my directions. I have been advised to seek competent legal and tax advice, and have not been provided any such advice from the IRA Trustee/Custodian.

Signature of IRA Owner (or other authorized person):

Date If the owner of the IRA is a minor, the responsible person designated on the current IRA account needs to sign this form.

Please check with your current Trustee/Custodian to determine if a medallion signature guarantee is required to process this transfer.

The medallion signature guarantee must be provided by a U.S. bank, member of a national securities exchange, savings and loan association, credit union, broker or other acceptable financial institution. A notary public cannot provide a medallion signature guarantee.

Medallion signature guarantee. Place guarantee stamp and authorized signature here (if required).

#### (This portion is to be completed by UMB Bank, n.a., Custodian for Marsico Funds IRA.)

Acceptance: Please be advised that UMB Bank, n.a., has been appointed to serve as successor Custodian of this IRA. Please send the check representing the liquidation of the investments indicated along with a copy of this form to identify the check as a transfer of assets to:

Marsico Funds UMB Fund Services, Inc. P.O. Box 3210 Milwaukee, WI 53201-3210

Х Authorized Signature

(If you prefer to wire funds directly, please call 888-860-8686 for further instructions.)

This form can also be downloaded from our website: marsicofunds.com or photocopied. If you have any questions, please call: 888-860-8686. MA213-1221

Please return this form by mail to: Marsico Funds UMB Fund Services, Inc. P.O. Box 3210 Milwaukee, WI 53201-3210

Send overnight deliveries to: Marsico Funds UMB Fund Services, Inc. 235 W. Galena St. Milwaukee, WI 53212-3948 Date